

Electronic Acknowledgement Receipt

EFS ID:	2813139
Application Number:	09896831
International Application Number:	
Confirmation Number:	5861
Title of Invention:	Method and apparatus for offering, pricing, and selling securities over a network
First Named Inventor/Applicant Name:	Calvin B. Grigsby
Customer Number:	20872
Filer:	Katherine D. Lee/Laura Tsang
Filer Authorized By:	Katherine D. Lee
Attorney Docket Number:	476172000100
Receipt Date:	04-FEB-2008
Filing Date:	28-JUN-2001
Time Stamp:	21:53:04
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$465
RAM confirmation Number	12552
Deposit Account	031952
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Request for Continued Examination (RCE)	RCETrans.pdf	28218 61202a3c10f3a96b07eadbcat4b24962 85232223	no	1

Warnings:

This is not a USPTO supplied RCE SB30 form.

Information:

2	Extension of Time	EOT.pdf	31265 efb3fa77ba5992cc049c5fa919512795 4c0299	no	1
---	-------------------	---------	---	----	---

Warnings:**Information:**

3	Amendment Submitted/Entered with Filing of CPA/RCE	Response.pdf	58801 760d769444c58dce01a19ce2b0d342 26e0943	no	13
---	--	--------------	--	----	----

Warnings:**Information:**

4	Fee Worksheet (PTO-06)	fee-info.pdf	8352 1a4cc0f5bea5321a6270bd2210474800 ceec2d1e	no	2
---	------------------------	--------------	--	----	---

Warnings:**Information:**

Total Files Size (in bytes): 126636

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.